2019
Local Services Plan
For Mental Hygiene Services
Herkimer County MH & Chem Services
July 18, 2018
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The Herkimer County Mental Hygiene Services Advisory Board was created in 2010 to advise and guide the local planning process, discuss needs and share information across service systems. The group includes OASAS, OMH and OPWDD service providers, family representatives and advocates, key agency, government and community stakeholders, and the members of the Community Services Board. Mental Hygiene Services Advisory Board members serve on the Mental Health, Developmental Disabilities and Substance Use Disorders Subcommittees as well as various other ad-hoc planning committees and groups.

The Herkimer County Mental Hygiene Services Advisory Board meets during each planning cycle to discuss the implementation of the current year's Herkimer County Mental Hygiene Services Local Services Plan (LSP), to review data, and to update on current initiatives and emerging trends in Herkimer County, including those related to federal and state policy changes which have impacted current operating environments and strategic directions within OMH, OASAS and OPWDD. Examples of these changes include the implementation of HARP, HCBS Waiver and Medicaid Redesign, the OPWDD Transformation Agenda, the RPC and the DOH DSRIP and PHIP initiatives. The next meeting of this group will be held in August 2018.

The Developmental Disabilities, Mental Health and SUD Subcommittees each held meetings in May 2018 to review data, discuss policy changes and identify current priority areas to be addressed in the 2019 Mental Hygiene Services Local Services Plan (i.e. substance use disorder treatment). Discussions were also held with Integrated County Planning partners, including the Directors of Public Health, Department of Social Services and Probation, to review current needs and establish strategies that address collaborative areas of focus (i.e. suicide prevention and services for the juvenile justice population). Relationships with local school districts were enhanced, as districts became involved in suicide prevention efforts and implemented satellite mental health clinic services and enhanced prevention efforts. Overall, the planning process elicited much discussion related to emerging trends and priority needs in Herkimer County, and as a result identified many new strategies to effectively address needs. These strategies were incorporated into the 2019 Mental Hygiene Services Local Services Plan.

In addition to this work, the LGU and key mental hygiene services system representatives and community stakeholders have participated in multiple regional planning initiatives, to include the Mohawk Valley RPC, the Leatherstocking DSRIP, the Mohawk Valley PHIP, and the newly formed BHCC, to improve the current service delivery system and address statewide goals and objectives. The LGU has played an active role in the Mohawk Valley RPC and will co-chair the Children and Families Subcommittee beginning in June 2018.
1. Overall Needs Assessment by Population (Required)

Please explain why or how the overall needs have changed and the results from those changes.

a) Indicate how the level of unmet mental health service needs, in general, has changed over the past year: ☐ Improved ☐ Stayed the Same ☐ Worsened

Please Explain:

There remains a barrier in access to home and community based mental health services for adults and youth, including psychiatrists and physicians that prescribe psychiatric medications. The large rural geography in Herkimer County has compounded these issues. Caseloads at the Herkimer County Mental Health Clinic remain high (85-95). The establishment of satellite clinic services in schools and communities has helped to increase availability of services.

The Mohawk Valley Regional Planning Consortium has been a great support and resource, and has helped local providers to identify and begin to address regional service delivery challenges, including the lack of psychiatrists and primary care providers that prescribe medications and other priority areas of concern.

The shift to Value Based Payment for clinic services and the development of a local Behavioral Health Care Collaborative to improve outcomes and prepare for managed care transition have been identified as priority areas of focus for providers.

There is a need to coordinate services for recipients of mental health services who have serious co-occurring medical and substance use problems.

Certified Community Residence and Respite beds are in high demand and operate at nearly 100% capacity. There is a long waiting list for Supported Housing services in Herkimer County with an average of two years waiting time. Additional housing beds are necessary to meet the local need. The program receives an average of two referrals a month from a variety of sources. The individuals who are open to Supported Housing are often waiting to be opened to Section 8 which may take 3-4 years to secure. Compounding this issue is the lack of safe, decent, affordable housing options in the community for low income families and adults.

School personnel report an increase in youth that exhibit poor social skills, a lack of empathy and limited coping mechanisms. Enhancing school based mental health support services as a preventive means to identify and address areas of concern, and increasing the availability of mental health services and trauma-informed interventions for young people involved with the child welfare and juvenile justice systems have been identified as priority areas of need.

Education and intervention for youth and adults at risk of suicide continues to be an area of focus. Through the creation of the Herkimer County Suicide Prevention Coalition in 2017, training of community stakeholders has begun and action items determined.

Workforce Recruitment and Retention has been identified as a priority area of concern. The rising minimum wage and the responsibilities of the positions have affected the number of individuals entering the field. Turnover rates have increased, and staffing shortages have begun to impact residence shift coverage and caseload sizes.

HCBS service availability has not kept pace with the number of HARP-eligible individuals that need the services. A local barrier for HARP eligible adults has been the inability to obtain HCBS services due to provider shortages. As Waiver youth transition to Health Home service provision, there is a concern that the delivery system will not be ready to accommodate the additional home and community based service needs of this population. It was noted that there may be a gap in availability for those individuals that have the same level of need but are not enrolled in Medicaid.

There is a need for ongoing education and training for professionals and consumers regarding the changes that are occurring in the service delivery system related to DSRIP initiatives and Medicaid redesign.

Transportation is one of the main issues that consumers and families continue to struggle with, especially when home-based services are scarce.

Collaboration among mental health agencies and the LGU continues to be positive and effective.

b) Indicate how the level of unmet substance use disorder (SUD) needs, in general, has changed over the past year: ☐ Improved ☐ Stayed the Same ☐ Worsened

Please Explain:

Heroin and Opioid abuse has remained a significant issue in Herkimer County, as it has in most counties across NYS. The rise in Opioid and Heroin use has strained the existing resources in the county and those accessed outside the county. Heroin laced with fentanyl has proved to be highly dangerous, eliciting many negative consequences. The local correctional facility reports that a great number of individuals incarcerated in that facility experience significant withdrawal symptoms from these substances within the first few days of incarceration. The Department of Social Services noted that the rise in Opioid and Heroin abuse has caused an increase in foster care placements, and Public Health reported that there has been an increase in both drug addicted newborns and Hepatitis C patients.

The NYS 2017 Opioid Annual Report published by the NYS Department of Health identifies the 2016 Opioid Overdose Rate (including Heroin) in Herkimer County as higher than the NYS rate (84 per 100,000 vs. 59.4 per 100,000 respectively). In addition, the age adjusted rates of emergency department visits and hospital discharges in 2016 were among the highest in the state for both Heroin and Other Opiates (>66 per 100,000 and >33.3 per 100,000 respectively).

Access to treatment continues to be an issue – there are long wait times to access inpatient treatment and detox services. There is not an inpatient treatment facility or detox services in Herkimer County, and as a result services are utilized out of county in a system that is already
There is an increased need for assessment and outpatient treatment services, and for the provision of Vivitrol and Suboxone for the community at large and for the specialized populations served by the department of social services and the correctional facility. There is also a need for residential supportive living options for women and their children.

Overall, more individuals with substance use disorders treatment in Herkimer County have been identified as having co-occurring chronic medical and mental health issues. Communication, coordination and collaboration of service providers has been identified as a significant need. Although more individuals receiving services appear to be insured, large deductibles and co-pays have prevented some individuals from being able to afford or remain in treatment.

Transportation continues to be a barrier for those who live in the rural areas of the county.

The Herkimer County Prevention Council (substance abuse prevention provider) is consistently dealing with new trends and emerging substances. Prevention for youth is ongoing and consistent due to the positive relationships with the school systems. Providing information to parents regarding warning signs, and prevention, treatment, and recovery support services continues as an area of focus. An increase in prevention services for adults is also needed.

The need to provide ongoing education and training for mental health services staff, law enforcement schools and community providers has been identified as a continuing area, particularly on the topic of Opioid, Heroin and fentanyl use and the administration of Narcan.

Collaboration among substance use disorder prevention and treatment agencies and the LGU continues to be positive and effective.

There is a need to improve data collection methods to better assess the scale and scope of Opioid and Heroin use by age group and the administration of Narcan doses by first responders, law enforcement and community members.

There are promising solutions to some of these challenges on the horizon. The Neighborhood Center, a local non-profit agency, has had ongoing dialogue with NYS OASAS and the LGU regarding the development of a 50 bed residential treatment facility in Herkimer County. As part of this facility, an additional five beds would be designated as Detox beds. The Neighborhood Center has purchased a building in Herkimer County formerly used as an adult care nursing home, and they are currently working to secure the funding required for capital improvements. The Neighborhood Center has begun the process to become a Certified OASAS Provider, and will be filing an OASAS Certification and OASAS Part 820 Residential Redesign Application for stabilization and rehabilitation with NYS OASAS in 2018. Along with that application, the Neighborhood Center will be filing a Schedule C OASAS Capital Project Funding Request (paper copy only) for renovation cost assistance. The renovation project total is estimated to be over 9.8 million dollars. The Neighborhood Center has also applied for 1.75 million dollars in economic infrastructure development funds from the NYS Regional Economic Development Corporation to assist with these costs.

c) Indicate how the level of unmet needs of the developmentally disabled population, in general, has changed in the past year:  

Stayed the Same ☐ Worsened ☐

Please Explain:

Workforce Recruitment and Retention has been identified as a priority area of concern. The rising minimum wage has affected the number of individuals entering the field, as many individuals are opting to take jobs in the community with similar wages but less responsibility. Turnover rates have also increased because of this, and staffing shortages have begun to impact activities and residence shift coverage. These issues highlight the need to pay direct support professionals a fair wage commensurate with their duties and responsibilities. Maintaining and increasing the skill level and professional status of direct support professionals has been identified as an area of critical importance and may provide a solution to these staffing issues.

There has been progress made with enrollment and access to services (Front Door) but some needs remain unaddressed. Developmental Disabilities stakeholders report ongoing concerns that systemic changes have impacted the ease of access for families in need of services. The time it takes for the intake/assessment process was identified as lengthy and a barrier. Rate Rationalization has resulted in changes in programs being offered and/or the amount that families may become responsible for paying for some services.

There is a need for appropriate and varied residential living settings and opportunities for adults, including integrated community housing options. There is also a need for a TUBS bed in Herkimer County that is available to meet the level of residential respite services needed. Many families are authorized to receive residential respite services beyond the available local capacity.

The number of individuals enrolled in self-directed services has fluctuated and remains lower than originally anticipated. Subcommittee members report that some families have experienced challenges recruiting and retaining staff to provide these services.

The transformation agenda and the transition from sheltered work to integrated community opportunities has made progress. There is great competition for community-based opportunities, as many residents in the area are underemployed and/or are competing for jobs that earn minimum wage.

There is a lack of public and other transportation options for adults and families in the community.

The need for crisis prevention and response, such as the NYSTART services expected to be implemented in 2019, were identified as a promising resource to maintain individuals in the community.

Collaboration among developmental disabilities agencies and the LGU continues to be positive and effective.

2. Goals Based On Local Needs

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b) Transportation

c) Crisis Services

d) Workforce Recruitment and Retention (service system)

e) Employment/Job Opportunities (clients)

f) Prevention

g) Inpatient Treatment Services

h) Recovery and Support Services

i) Reducing Stigma

j) SUD Outpatient Services

k) SUD Residential Treatment Services

l) Heroin and Opioid Programs and Services

m) Coordination/Integration with Other Systems for SUD clients

n) Mental Health Clinic

o) Other Mental Health Outpatient Services (non-clinic)

p) Mental Health Care Coordination

q) Developmental Disability Clinical Services

r) Developmental Disability Children Services

s) Developmental Disability Adult Services

t) Developmental Disability Student/Transition Services

u) Developmental Disability Respite Services

v) Developmental Disability Family Supports

w) Developmental Disability Self-Directed Services

x) Autism Services

y) Developmental Disability Person Centered Planning

z) Developmental Disability Residential Services

aa) Developmental Disability Front Door

ab) Developmental Disability Service Coordination

ac) Other Need (Specify in Background Information)

2a. Housing - Background Information

Supported housing for mental health consumers is a significant need in Herkimer County. The OMH Supported Housing program operated by Upstate Cerebral Palsy (UCP) currently has 29 people on the waiting list. There has been close to 100% utilization of this program and the program receives an average of two referrals a month from a variety of sources (i.e. care management, clinics, hospitals). An additional 6 certified beds for clients with high needs is being requested to meet needs. The two crisis beds that are available at the Aney Community Residence are always full, and the fee for service sometimes makes it difficult for an individual to take advantage of this service. The time on the Supported Housing waiting list averages about two years. The individuals who are open to Supported Housing are often waiting to be opened to Section 8 and that waiting list is often 3-4 years. In 2017, 10 non-certified beds were received and filled.

Compounding this issue is the lack of safe, decent, affordable housing options in the community for low income families and adults. Since the individuals who are referred to supported housing are homeless or at risk of homelessness, they often need immediate assistance. They often need to use the natural supports in their community (family, friends) and are sometimes forced to reside in substandard housing or even relocate out of the area where there are available shelters.

Housing alternatives for individuals with Developmental Disabilities has also been identified as an area of need. Please refer to section 2z, Developmental Disability Residential Services for additional information.

Do you have a Goal related to addressing this need? ☐ Yes ☐ No

Goal Statement - Is this Goal a priority goal (Maximum 5 Objectives per goal)? ☐ Yes ☐ No

Develop new housing options for individuals with a mental health and/or developmental disability diagnosis.

Objective Statement

Objective 1: The LGU will advocate for additional OMH Certified Housing slots in Herkimer County and will work with UCP to develop strategies to address current housing needs

Applicable State Agency: (check all that apply): ☐ OASAS ☑ OMH ☐ OPWDD
Objective 2: The LGU will utilize the DD and MH subcommittees as a forum to discuss housing needs and identify strategies/solutions.

Applicable State Agency: (check all that apply): ☑ OASAS ☑ OMH ☑ OPWDD

Change Over Past 12 Months (Optional)

A request has been made to OMH for additional certified housing beds.

To help address current needs, the OMH Supported Housing program continues to provide assistance and support to those individuals currently on the waiting list for services.

Please refer to section 2z, Developmental Disability Residential Services for additional information on DD activities.

2b. Transportation - Background Information

Transportation is one of the main issues that individuals and families struggle with in Herkimer County. Most communities in Herkimer County are rural and lack public transportation options. Commercial bus service is available during limited timeframes between Little Falls and Utica (in Oneida County) along the Routes 5 and 5S Valley Corridor, providing a limited number of stops in the communities of Little Falls, Herkimer, Ilion, Mohawk and Frankfort. While this service is valuable it does not meet the needs of the majority of Herkimer County residents. Due to schedule limitations there are long lapses between route stops and the service is unavailable on nights and weekends.

There are two companies in Herkimer County that provide commercial taxi services. Medicaid transportation services to medical appointments is available for those that qualify, but services are not available for individuals to pick up their prescriptions or other needed items required to support health and well-being.

One community in northern Herkimer County and two local non-profit agencies have created small, localized transportation services to meet the needs of specific populations. These services are limited, predominately serve the elderly, and do not meet the needs of the general population.

Due to transportation issues, Herkimer County has consistently struggled with access to services. Herkimer County residents to the north and south of the Valley Corridor often have problems getting to needed services. Due to a lack of service providers in the county, many individuals must also travel outside the county to access specific services to meet their needs, which compounds the problem.

Those that are able to obtain public transportation and/or Medicaid transportation sometimes have a hard time making their scheduled appointments or getting to work in a timely manner. Many individuals do not have access to a vehicle or their vehicle is unreliable, which also interferes with access to services.

A local provider for the DD population does have buses and vans available to help transport individuals to appointments and community activites, but the fleet of vehicles used has been aging and specialized transportation (i.e. wheelchair transport) is limited.

All three Mental Hygiene Services subcommittees identified transportation as a need for the populations they serve.

Do you have a Goal related to addressing this need? ☑ Yes ☐ No

Goal Statement - Is this Goal a priority goal (Maximum 5 Objectives per goal)? ☑ Yes ☐ No

Improve access to behavioral health, SUD, and developmental disability services in Herkimer County.

Objective Statement

Objective 1: The LGU will utilize the DD, MH and SUD subcommittees as a forum to discuss transportation needs and identify strategies/solutions.

Applicable State Agency: (check all that apply): ☑ OASAS ☑ OMH ☑ OPWDD

Objective 2: MH Clinic service providers will provide bus passes to service recipients that reside near the bus route and will continue dialogue with Medicaid transportation providers to ensure timely clinic appointment attendance.

Applicable State Agency: (check all that apply): ☑ OASAS ☑ OMH ☑ OPWDD

Objective 3: Whenever possible, the LGU will work with agencies and key stakeholders to develop and implement home, school and local community based service delivery options to address consumer needs.

Applicable State Agency: (check all that apply): ☑ OASAS ☑ OMH ☑ OPWDD

Change Over Past 12 Months (Optional)

Utilizing bus passes for clinic patients has proven to be effective, but less individuals are utilizing this service due to the limited bus schedules.

Conversations with Medicaid transportation providers have resulted in a decrease in the number of late and/or missed clinic appointments.

Satellite MH clinics have opened in three regions of the county (community and school-based) to better address local service needs.

ArcHerkimer has received funding to purchase new buses that will replace some of their aging vehicles.

Catholic Charities of Herkimer County (community non-profit agency and OASAS Prevention service provider) is applying for DSRIP funds to support a Companionship Program which will provide transportation to/from medical appointments, pharmacies, and entitlement appointments for county residents in need.

2c. Crisis Services - Background Information
In 2016, 146 Herkimer County residents were admitted to OASAS certified withdrawal and stabilization programs (detox services). These services are not available in Herkimer County, and must be accessed out of the county in a system that is already overburdened and where there are long wait times for services. As a result, people are either leaving the region for help or opting out of treatment altogether.

A 50 bed inpatient residential treatment facility is in the process of being developed in Herkimer County. It is proposed that a 5 bed medically monitored withdrawal and stabilization component would be part of that facility. Single rooms will be utilized for stabilization and will be located separate from the rehabilitation treatment allowing for round the clock observation care.

In addition to this work, the Neighborhood Center is working with the Center for Family Life and Recovery and the Rescue Mission (located in neighboring Oneida County) to develop a 24/7 Open Access Center which will help direct people to addiction treatment services by delivering immediate engagement, assessment, and referral services for people suffering from a substance use disorder. This new initiative also expands mobile crisis assessment and peer support services.

Do you have a Goal related to addressing this need? [ ] Yes  [ ] No

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)? [ ] Yes  [ ] No

Advocate for and support the development of Detox services in Herkimer County

Objective Statement

Objective 1: The LGU will work with the Neighborhood Center and NYS OASAS to help facilitate the Neighborhood Center move forward with the proposal to open an inpatient treatment facility that will include the provision of detox services in Herkimer County

   Applicable State Agency: (check all that apply): [ ] OASAS  [ ] OMH  [ ] OPWDD

Objective 2: The LGU will monitor and provide assistance as needed, and will keep the SUD Subcommittee updated on progress.

   Applicable State Agency: (check all that apply): [ ] OASAS  [ ] OMH  [ ] OPWDD

Change Over Past 12 Months (Optional)

The Neighborhood Center has had ongoing dialogue with NYS OASAS and the LGU regarding the development of this facility. The Neighborhood Center has purchased a building in Herkimer County and they are currently working to secure the funding required for capital improvements. The Neighborhood Center has begun the process to become a Certified OASAS Provider, and will be filing an OASAS Certification and OASAS Part 820 Residential Redesign Application for stabilization and rehabilitation with NYS OASAS in 2018. Along with that application, the Neighborhood Center will be filing a Schedule C OASAS Capital Project Funding Request (paper copy only) for renovation cost assistance.

2d. Workforce Recruitment and Retention (service system) - Background Information

Increasing the skill level and professional status of direct support professionals has been identified as a need by MH and DD agencies. The field has experienced high turnover in recent years and salary compensation is low. The demands of the field combined with the rising minimum wage has affected recruitment and retention, and highlights the need to pay direct support professionals a fair wage commensurate with their duties and responsibilities. Turnover rates have also increased, and staffing shortages have begun to impact activities and residence shift coverage.

Do you have a Goal related to addressing this need? [ ] Yes  [ ] No

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)? [ ] Yes  [ ] No

Increase the skill level and professional status of direct support professionals.

Increase the number of authorized staff positions that are filled.

Objective Statement

Objective 1: Arc Herkimer and UCP will advocate and develop proactive plans for Direct Support Professionals and Self-Advocates to participate in advocacy efforts for provider rates more supportive of service needs and adequate staff pay.

   Applicable State Agency: (check all that apply): [ ] OASAS  [ ] OMH  [ ] OPWDD

Objective 2: Arc Herkimer will implement its 2018 – 2020 Strategic Plan to increase the number of filled authorized staff positions. The agency will do this by providing a combination of financial incentives, enhancing advocacy efforts, and implementing retention strategies.

   Applicable State Agency: (check all that apply): [ ] OASAS  [ ] OMH  [ ] OPWDD

Objective 3: The LGU will monitor progress and will provide support as needed.

   Applicable State Agency: (check all that apply): [ ] OASAS  [ ] OMH  [ ] OPWDD

Change Over Past 12 Months (Optional)

A DSP advisory group has been formed by Arc Herkimer and has been involved statewide to raise awareness regarding issues such as high turnover, recruitment, training and salary compensation. The “Be Fair to Direct Care” campaign resulted in budgetary moves at the state level that will benefit workers in 2018.

A Direct Support Professional Career (DSP) Ladder has been implemented by Arc Herkimer. The Ladder includes various educational and competency-based skill milestones that are associated with increase in wages for DSPs. Milestones include the College of Direct Support on-line program, DSP credentialing, and Associate’s and Bachelor’s degrees.
UCP is also involved in advocacy efforts for Direct Service Professionals in MH and DD care settings.
Arc Herkimer and UCP have implemented various financial incentives to entice staff to work on certain shifts and/or in select high needs residences.

2e. Employment/ Job Opportunities (clients) - Background Information
Finding community employment opportunities has proven to be challenging and remains the focus of both DD and MH providers.
Pre-vocational training was cited as essential for those who want to work but still require added support. This activity, and transitioning adults to integrated and community employment settings is a continued area of focus for MH and DD providers.
Community providers will continue working with OPWDD to implement the Transformation Agenda and are working to expand community inclusion for individuals with intellectual and developmental disabilities.

Do you have a Goal related to addressing this need?  Yes  No

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)?  Yes  No

Provide skill development through classroom education and community experiences.

Promote meaningful employment opportunities in a community and/or integrated work setting.

Objective Statement

Objective 1: Arc Herkimer, in coordination with Herkimer College, will continue to grow its Supportive Training and Education, a Plan for Success (STEPS) program which assists individuals 18 – 34 years old enhance their knowledge of possible work opportunities and develop their “soft” employment skills through classroom education and community experiences of work suited to their abilities and interests.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 2: Career Connections will enhance the ongoing supports for employed individuals to increase the likelihood of sustained employment, and will advocate for the additional availability of community employment positions.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 3: Arc Herkimer will implement its approved plan to transition individuals currently working in a sheltered setting into an integrated business environment located in the Herkimer Business Park. Job positions at this site will also be utilized by MH individuals enrolled in Career Connections services.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 4: Upstate Cerebral Palsy will offer additional employment choices and opportunities to individuals in Herkimer County eligible for SEMP, ACCESS and other employment services. Upstate Cerebral Palsy will contact public sector employers in Herkimer County for the purpose of establishing competitive employment opportunities.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 5: The LGU will utilize the DD and MH subcommittees as a forum to discuss employment needs and identify strategies/solutions.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Change Over Past 12 Months (Optional)

Arc Herkimer has been working to prepare young adults for employment through Project Search. Project Search is an international, trademarked and copyrighted intern program model that focuses solely on employment for young adults 18 – 34 years old. This program is run in collaboration with Herkimer College. Project Search has an intensive focus on achieving competitive employment and maximum independence for each individual. Each intern participates in daily classroom instruction and gains hands on work experience by rotating among three unique unpaid internships. Interns learn skills that are marketable in their search for community employment. To date, ten young adults have graduated from the program, and a 3rd class of five students has begun.

Career Connections of Arc Herkimer has been working with individuals with developmental disabilities through “Pathways to Employment & Community Prevocational Services.” The STEPS (Supportive Training & Education, a Plan for Success) program creates community integrated supports through a community based, integrated learning environment that assists individuals in moving forward in career choice, vocational exploration, and life skill acquisition. This is a person-centered, whole person approach to support the person with a disability in the life they choose. In addition, Career Connections continues to develop supports and services assisting individuals with mental health challenges to obtain and keep employment through the Assisted Competitive Employment Program. In total, approximately 80 individuals were provided services from Career Connections in 2017.

Upstate Cerebral Palsy has provided employment choices and opportunities to developmentally disabled individuals in Herkimer County that are eligible for SEMP, ACCESS and other employment services.

2f. Prevention - Background Information

The Herkimer County Prevention Council (HPCC), a program of Catholic Charities of Herkimer County, provides prevention education services in six school districts in Herkimer County. Over 1,000 youth were exposed to EBP prevention information and education in 2017.

Through the work of the Community Partnership Coalition of Herkimer County (CPCHC), the HCPC works with other community agencies to provide the most current information through sponsored trainings on the prevention of alcohol and substance abuse. A full time CPCHC Coordinator works with members of the Coalition to plan for and implement specific environmental strategies that address these issues. An increase in prevention services for adults is needed and is being addressed as part of the work of CPCHC.
Objective Statement

Objective 1: The Herkimer County Prevention Council will expand Prevention Education Services to one additional school district in Herkimer County.

Objective 2: The Herkimer County Prevention Council, as a Certified Education and Training Provider through OASAS, will collaborate with the Community Partnership Coalition of Herkimer County and other community agencies to provide the most current information through sponsored trainings on the prevention of substance abuse. The HCPC will provide two trainings on current trends in substance abuse in 2018 and 2019.

Objective 3: Catholic Charities of Herkimer County will facilitate the Mohawk Valley Prevention and Recovery Collaborative and will work with key leaders from six county coalitions to streamline the resources, activities, and events offered in each county. The Collaborative will strengthen and expand activities currently being provided by coalitions and will assist in developing a comprehensive and easy to use database of resources.

Objective 4: The Herkimer County LGU will work with Catholic Charities of Herkimer County, the HCPC and the Community Partnership to develop and expand prevention activities in Herkimer County.

Change Over Past 12 Months (Optional)

The Herkimer County Prevention Council facilitated the 2017 Herkimer County Youth Summit, mock DWI events in local school districts, and education/training presentations for over 600 youth, and is providing a NYS Recovery Coach training for personnel. The Student Assistance Counseling program provided services to 189 youth in 2017, and the HCPC has begun discussions with Juvenile Justice Service providers to implement the Teen Intervene Program.

The Community Partnership Coalition is in the third year of a five year Drug Free Communities Support Program grant, and was also recently awarded a grant to address underage drinking in Herkimer County. The CPCHC has been working to address underage drinking and the dangers of prescription & synthetic drugs and heroin use. Community trainings have been held for first responders, law enforcement, agency personnel, and community members on NARCAN use.

The Mohawk Valley Regional Prevention and Recovery Collaborative has purchased software and has begun developing a regional database which will include local SUD data and an inventory of resources. Peer recovery support services are being developed by the Center for Family Life and Recovery.

Objective Statement

2g. Inpatient Treatment Services - Background Information

In 2016, 136 Herkimer County residents received OASAS Inpatient SUD Treatment services. These services are not available in Herkimer County and were accessed out of the county in a system that is already overburdened and where there are long wait times for services. The rise in Opioid and Heroin use has compounded the need for additional services.

A 50 bed inpatient residential treatment facility has been proposed by the Neighborhood Center, a non-profit community agency, and it is currently in the development stage in Herkimer County. It is proposed that a 5 bed medically monitored withdrawal and stabilization (detox) component would be part of this facility. The facility will utilize evidenced based holistic clinical and behavioral health recovery/discovery treatment for individuals addicted to chemically altering substances and alcohol with or without a mental health diagnosis. Single rooms will be utilized for stabilization and will be located separate from the rehabilitation treatment allowing for round the clock observation/care. On-going supportive help and follow-up when individuals return to their home community will be a component of the program, and linkages and follow-up with local community treatment providers will occur up to one year following discharge.

Do you have a Goal related to addressing this need? Yes No

Goal Statement - Is this Goal a priority goal (Maximum 5 Objectives per goal)? Yes No

Advocate for the development of SUD inpatient treatment services in Herkimer County

Objective Statement
Objective 1: The LGU will work with the Neighborhood Center and NYS OASAS to move forward with the Neighborhood Center's proposal to open a treatment facility in Herkimer County

Applicable State Agency: (check all that apply): ✓ OASAS ✓ OMH ✓ OPWDD

Objective 2: The Neighborhood Center will file all OASAS Certification applications required and will pursue grants and sources of funding to assist with capital costs.

Applicable State Agency: (check all that apply): ✓ OASAS ✓ OMH ✓ OPWDD

Objective 3: The Substance Use Disorders subcommittee and the CSB will receive regular updates on facility development. The groups will have an opportunity to provide input on SUD treatment needs and will facilitate collaboration among providers and key stakeholders.

Applicable State Agency: (check all that apply): ✓ OASAS ✓ OMH ✓ OPWDD

Change Over Past 12 Months (Optional)

The Neighborhood Center has had ongoing dialogue with NYS OASAS and the LGU regarding the development of the 50 bed residential treatment facility. The Neighborhood Center has purchased a building in Herkimer County and they are currently working to secure the funding required for capital improvements. The Neighborhood Center has begun the process to become a Certified OASAS Provider, and will be filing an OASAS Certification and OASAS Part 820 Residential Redesign Application for stabilization and rehabilitation with NYS OASAS in 2018. Along with that application, the Neighborhood Center will be filing a Schedule C OASAS Capital Project Funding Request (paper copy only) for renovation cost assistance.

2j. SUD Outpatient Services - Background Information

In 2016, 434 Herkimer County residents received outpatient OASAS treatment services. Of those, 273 received services in Herkimer County. Beacon Center, the SUD treatment provider, currently serves an average of 130 youth and adults for a variety of substance use disorders each month. The Beacon Center now prescribes Vivitrol and Suboxone as a component of Heroin and Opioid treatment. The demand for services continues to increase, especially for specialized populations such as those who are involved with the department of social services and for those exiting the Herkimer County Correctional Facility.

NYS recently authorized $30,000 to support SUD services in the Herkimer County Correctional Facility. The Herkimer County Mental Health Clinic Director (LGU) and the Sheriff are currently meeting to discuss the best use of funds.

An increasing number of individuals accessing SUD treatment services in Herkimer County have been identified as having co-occurring mental health issues. Many correctional facility inmates screened by Herkimer County Department of Mental Health clinic staff to identify those who may be at-risk of doing harm to themselves or others or who are requiring treatment of an existing mental illness are also identified as having alcohol and substance abuse problems (especially heroin). Communication, coordination and collaboration among service providers has been identified as a ongoing need.

The Herkimer County Department of Mental Health continues to provide alcohol/substance abuse screening services to social service beneficiaries identified as having alcohol/substance abuse disorders. These individuals are mandated to participate in screening or treatment services as a condition of program eligibility.

In 2016, 44 Herkimer County residents received OASAS residential services. Of those, the Nichols House, a 15 bed supportive living residence for males, admitted 13 individuals from Herkimer County. There continues to be a need for local supportive housing options for both women individually and with their children. The Nichols House is currently transitioning to include more life skills training and improved linkages to employment services, affording a better transition to the community upon discharge.

Do you have a Goal related to addressing this need? ✓ Yes ◯ No

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)? ✓ Yes ◯ No

Expand the availability and capacity of SUD treatment services in Herkimer County.

Objective Statement

Objective 1: The Herkimer County Mental Health Clinic Director (LGU) and the Sheriff will develop a plan for the use of funds to support SUD treatment services for incarcerated individuals

Applicable State Agency: (check all that apply): ✓ OASAS ✓ OMH ✓ OPWDD

Objective 2: The Beacon Center will work with organizations such as the Department of Social Services to address increasing local needs.

Applicable State Agency: (check all that apply): ✓ OASAS ✓ OMH ✓ OPWDD

Objective 3: The LGU and Herkimer County Mental Health Clinic staff will meet with Beacon Center staff on a monthly basis to streamline referral processes and better meet the assessment and treatment needs of individuals with co-occurring disorders.

Applicable State Agency: (check all that apply): ✓ OASAS ✓ OMH ✓ OPWDD

Change Over Past 12 Months (Optional)

The Beacon Center has prescribed Vivitrol and Suboxone as a component of Heroin and Opioid treatment since 2016. Due to the increased need for this service, an additional physician's assistant has been added to the program. This addition has allowed for a significant increase in the number of clients that can receive this treatment (30 to 100 in 2017). The addition of two methadone clinics in the region has also helped with
Initiation and engagement in treatment services had been an ongoing area of focus and has seen improvement. According to the DSRIP Regional Needs Assessment (2016), in the Mohawk Valley region 52% of individuals initiate treatment within 14 days of AOD dependence diagnosis and 21% engage in treatment within 30 days after initiation (79% do not). Herkimer County has the highest percentage of AOD initiation of treatment (54%) in the region and the second highest percentage of AOD engagement in treatment (23%). The Beacon Center has improved three-month retention rates for individuals in SUD treatment by utilizing “Service First” Customer Service Training and Motivational Interviewing techniques to improve and maintain client engagement. The Nichol’s House Supportive Living residence also improved their three-month retention rates for individuals who are admitted into the program by improving client screening and individualized service planning to improve client engagement.

Communication, coordination and collaboration with the mental health clinic has improved with the initiation of monthly meetings.

2n. Mental Health Clinic - Background Information

As stated previously, Opioid and Heroin abuse is as rampant in this county as it is elsewhere. The rise in Opioid and Heroin use has compounded the need for detox and treatment services, and increasing numbers of individuals who abuse opioids and heroin are seeking mental health services and are encountered in the local correctional facility. The correctional facility also reports that a great number of individuals incarcerated in that facility experience significant withdrawal symptoms within the first few days of incarceration. The Department of Social Services noted that the rise in Heroin use has caused an increase in foster care placements.

There is also an increased need for assessment and outpatient treatment services, and for the provision of Vivitrol and Suboxone.

There is a need to improve data collection methods to better assess the scale and scope of Opioid and Heroin use by age group and the administration of Narcan doses by first responders, law enforcement and community members.

Do you have a Goal related to addressing this need? Yes ❑ No ❑

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)? Yes ❑ No ❑

Develop a community response to enhance prevention and treatment efforts for individuals abusing Opioids and Heroin.

Improve data collection efforts to better assess the scale of the Opioid and Heroin epidemic

Objective Statement

Objective 1: The LGU will advocate for and support the addition of local SUD treatment options

Applicable State Agency: (check all that apply): ❑ OASAS ❑ OMH ❑ OPWDD

Objective 2: The HCPC and the Community Partnership will offer training to first responders, law enforcement, agencies and the community on Heroin and Opioid use and the use of Narcan

Applicable State Agency: (check all that apply): ❑ OASAS ❑ OMH ❑ OPWDD

Objective 3: The Mohawk Valley Regional Prevention and Recovery Collaborative will develop a mechanism to collect and analyze the number of Narcan doses administered by first responders, law enforcement and community members.

Applicable State Agency: (check all that apply): ❑ OASAS ❑ OMH ❑ OPWDD

Objective 4: The LGU will participate on the Herkimer County Community Partnership Coalition with other SUD providers and key stakeholders to support the collaborative efforts to reduce heroin and opioid use

Applicable State Agency: (check all that apply): ❑ OASAS ❑ OMH ❑ OPWDD

Change Over Past 12 Months (Optional)

The Mohawk Valley Regional Prevention and Recovery Collaborative has purchased software and has begun developing a regional database which will include local SUD data and an inventory of resources.

The Community Partnership Coalition of Herkimer County (CPCHC) has been working to address opioid and heroin use. Community trainings have been held for first responders, law enforcement, agency personnel, and community members on NARCAN use.

2n. Mental Health Clinic - Background Information

The Herkimer County Department of Mental Health operates an outpatient mental health clinic for adults and youth with serious mental illnesses and emotional disorders. The department employs five full-time Licensed Social Workers and two full-time Community Health Nurses. Part-time clinical staff includes two Licensed Social Workers, one Registered Nurse, a CASAC, two part-time physicians, and a part-time psychiatric nurse practitioner.

In 2017, department staff provided 8,795 visits to 1146 individuals (271 children and 875 adults). These numbers are consistent with those seen in 2016. There was on average of 530 people open to clinic services each month.

Demand for services is very high and there has been an increase in the immediate needs of the individuals served. Clinicians have average caseloads of 85-95 clients, and an increase in psychiatric visits for medications has been observed.

Correctional facility inmates are screened by Herkimer County Department of Mental Health clinic staff to identify those who may be at-risk of doing harm to themselves or others or are requiring treatment of an existing mental illness. An increasing number of inmates are also identified as having alcohol and substance abuse problems in addition to mental health problems. In 2017, 890 visits were provided to 370 correctional facility inmates. The number of visits made continues to increase. Out of the 890 visits made, 180 were visits for inmates that were on constant watch.
Department staff continue to provide alcohol/substance abuse screening services to social service beneficiaries identified as having alcohol/substance abuse disorders. These individuals are mandated to participate in screening or treatment services as a condition of program eligibility.

An increasing number of individuals accessing clinic services in Herkimer County have been identified as having co-occurring mental health and substance use issues. Communication, coordination and collaboration between the Mental Health Clinic and Beacon Center has been identified as a significant need.

The Neighborhood Center (agency provider) has established satellite mental health clinics in the Town of Webb, the Mount Markham School District, and the Central Valley School District to address the needs of youth and families in the northern and southernmost regions of the county, and in the most populated school district respectively. Two of these clinics have already added hours of availability to meet the demand for services.

The Herkimer County Mental Health Clinic is involved with the PSYCKES QI project to assist with the move toward Value Based Payment and is participating in the Care Transitions Network project. This project will enable primary and behavioral health care integration, ensuring that inpatient and outpatient providers bridge the gap at discharge and payer and provider priorities are better in sync. Working with practice coaches, Herkimer County is moving through five phases of transformation, which will include setting aims around the use of data and improving outcomes. Clinic staff participates in monthly calls and webinars with Transition Network staff to receive training and support.

Do you have a Goal related to addressing this need? ☐ Yes ☐ No

Goal Statement - Is this Goal a priority goal (Maximum 5 Objectives per goal)? ☐ Yes ☐ No

Expand the availability of Mental Health Clinic services

Develop a process to move towards Value Based Payment

Objective Statement

Objective 1: The DCS will participate in regional initiatives related to the provision of Clinic services (DSRIP Performance Hub, BHCC and RPC).

Applicable State Agency: (check all that apply): ☐ OASAS ☐ OMH ☐ OPWDD

Objective 2: The Herkimer County Mental Health Clinic will continue to participate in the PSYCKES QI project to assist with the move toward Value Based Payment

Applicable State Agency: (check all that apply): ☐ OASAS ☐ OMH ☐ OPWDD

Objective 3: The LGU will monitor clinic service needs and will work with community providers to develop services in identified schools and communities.

Applicable State Agency: (check all that apply): ☐ OASAS ☐ OMH ☐ OPWDD

Change Over Past 12 Months (Optional)

Herkimer County Mental Health staff and Beacon Center staff now meet monthly to discuss mutual cases and to further enhance treatment planning. This change has resulted in improved care coordination and treatment compliance.

The Neighborhood Center established a satellite mental health clinic in the Central Valley School District in 2017. Hours have been expanded by one day to address high demand for the service.

The DCS continues to participate in regional initiatives related to the provision of Clinic services (DSRIP Performance Hub, BHCC and RPC).

2. Other Mental Health Outpatient Services (non-clinic) - Background Information

There has been a need identified to provide additional prevention and support services to at-risk youth in local school districts. The Neighborhood Center, Inc. provides an asset development program to support youth at risk due to emerging mental health issues, economics, or negative social behaviors that a local school district has selected for implementation. Project AIM (Achieve-Inspire-Motivate) recognizes that a stable environment (including family, school and community engagement) is crucial to success; that youth may have experienced trauma, have inadequate coping or planning skills, have poor communication skills, be victims of child abuse/neglect or experienced other stressors including poverty, substance abuse, mental illness, criminal activity, and parental incarceration. Project AIM takes an approach that integrates the Search Institute Perseverance Process with a model that unites youth, natural supports and the community.

AIM groups are led by an adult mentor that provides case management, referral service and group peer led programs to help youth access services and connect them to their community while enhancing self-worth and coping skills. Participants have the opportunity to experience first hand various social and cultural events to strengthen connections to the community and gain a deeper appreciation for the cultural diversity offered in our area. This program was implemented in the Central Valley School District in September 2017. To date, 14 youth have participated with positive results being observed.

A separate program, also called AIM, exists at the Pathways Academy (alternative school) operated by Herkimer BOCES. The program offers a self-contained classroom setting and wraparound school and community-based services and supports for children with intensive behavioral health challenges, including access to a nurse practitioner and family counseling supports. The class size is small, serving approximately five students at one time. This program has been observed to produce similar positive results.

The LGU and other county health and human service departments participate in Herkimer County’s Integrated County Planning initiative to identify, prioritize and address county needs across service systems while maximizing resources. One area of need identified by county leaders has been at-risk youth (and their families) who are involved in the juvenile justice system. These youth and families have a multitude of needs
that require intensive intervention, including serious emotional and behavioral challenges and substance use disorders. Parent support and skills training, as well as trauma informed approaches to care, have been identified as priority areas of need for this population. The Herkimer County Department of Mental Health contributes funding to the blended services offered by the Family Support PINS Diversion Program to fund family support and counseling services for PINS and JD youth and their families. The LGU and the Center for Family Life and Recovery have worked with the Herkimer County Department of Social Services and Probation Department to implement best practice trauma-informed treatment and support programs, AF-CBT and AFP respectively, to address the needs of youth and families enrolled in the Program. A Certified Family Peer Advocate is also available to work with families to provide individualized in-home supports, Family Peer Advocacy services, and Common Sense Parenting training. This service is also available to parents and caretakers of SED youth through the children’s SPOA.

Mentoring services are currently offered through UCP for SED youth who are referred through the county’s Individualized Support Services (ISS program). One full and one part time staff person provide community based mentoring services from 2.5 to five hours per week per child. Services are authorized for six month intervals and can be continued if indicated. Approximately 25 youth can be served per year. In 2018, a Family Peer Advocate has been hired to provide support services to the families of the children enrolled in the program.

**Do you have a Goal related to addressing this need?** ☐ Yes ☐ No

**Goal Statement** - Is this Goal a priority goal (Maximum 5 Objectives per goal)? ☐ Yes ☐ No

**Increase the availability of home and school based prevention services that address the needs of SED and at-risk youth and their families.**

**Objective Statement**

Objective 1: The Neighborhood Center will continue to operate the AIM Program in the Central Valley School District and will serve up to 40 youth in the program.

   Applicable State Agency: (check all that apply): ☐ OASAS ☑ OMH ☐ OPWDD

Objective 2: CFLR, through it's work in the Family Support PINS Diversion Program, will fully implement the AF-CBT and AFP programs and will continue to provide Family Peer Advocacy Services for SED and at-risk youth and their families.

   Applicable State Agency: (check all that apply): ☐ OASAS ☑ OMH ☐ OPWDD

Objective 3: Kids Oncida will continue to operate the AIM Program in the Pathways Academy serving a classroom of five youth.

   Applicable State Agency: (check all that apply): ☐ OASAS ☐ OMH ☐ OPWDD

Objective 4: UCP will provide Family Peer Advocacy services to families whose children are enrolled in the ISS Mentoring Program

   Applicable State Agency: (check all that apply): ☐ OASAS ☑ OMH ☐ OPWDD

Objective 5: The LGU will monitor implementation and will work with contract providers to identify and respond to emerging community needs

   Applicable State Agency: (check all that apply): ☐ OASAS ☑ OMH ☐ OPWDD

**Change Over Past 12 Months (Optional)**

The Neighborhood Center successfully implemented the AIM Program in the Central Valley School District as a means to provide additional support services for SED and at-risk youth and their families in that district.

Counseling staff in the Family Support PINS Diversion program were trained to implement AF-CBT, an evidence-based trauma-informed treatment and support program designed to improve the relationship between children and caregivers in families involved in arguments, frequent conflict, physical force/discipline, child physical abuse, or child behavior problems. Other program staff (family support workers, family peer advocate, Probation Officers and DSS Preventive workers) were trained in Alternatives for Professionals (AFP), a trauma-informed support program designed to help families achieve treatment goals.

The Family Peer Advocate at UCP is currently completing the Credentialing process.

**2r. Developmental Disability Children Services - Background Information**

There is an ongoing need for social and recreational opportunities for children that are in integrated community settings.

**Do you have a Goal related to addressing this need?** ☐ Yes ☐ No

**Goal Statement** - Is this Goal a priority goal (Maximum 5 Objectives per goal)? ☐ Yes ☐ No

**Expand and enhance social, recreational and educational programming for Herkimer County Children and Youth**

**Objective Statement**

Objective 1: Arc Herkimer will apply for a Stewart’s Holiday Match grant in 2019 to support the purchase of learning and recreational supplies for youth in Herkimer County.

   Applicable State Agency: (check all that apply): ☐ OASAS ☐ OMH ☑ OPWDD

Objective 2: Arc Herkimer will continue to operate the accessible outdoor recreational park in the Village of Herkimer, and will work with Village of Herkimer to seek funding that can be used to complete the pool and bathhouse accessibility renovations

   Applicable State Agency: (check all that apply): ☐ OASAS ☑ OMH ☑ OPWDD

Objective 3: Arc Herkimer will maintain opportunities and supports for Pre-School and School-age Youth. The Children’s Respite/Recreation
Program continues to provide day activities for school age children during summer months and all school breaks. This program will continue through 2019.

Applicable State Agency: (check all that apply): ☑ OASAS ☑ OMH ☑ OPWDD

Change Over Past 12 Months (Optional)

Arc Herkimer, in collaboration with the Village of Herkimer, opened its outdoor recreation park in June 2017. The park has accessible playground equipment for children six months through 12 years who have ambulatory disabilities that preclude use of typical playground facilities. The park has seen a great deal of use by local families and those that have traveled from other parts of the region. One barrier to use is the lack of plumbing, which would provide accessible restrooms and a pool area. Funding opportunities are being explored to complete this phase of the project.

Previous Stewarts Holiday Match grants have enabled Arc Herkimer to purchase Leap Pad computer-assisted learning technology to help a therapeutic play group of 3 – 5 year olds improve vocabulary and academic skills through recreation activities, and allowed for the purchase of recreation supplies for play and structured activities that promote physical, mental, emotional, social, and creative development.

2v. Developmental Disability Respite Services - Background Information

A need has been identified to develop and enhance adult recreational programs and supports for individuals with developmental disabilities and those on the Autism Spectrum.

Arc Herkimer provides Autism Movement Therapy (AMT) for individuals on the Autism spectrum. AMT is a sensory integration strategy that connects the left and right hemispheres of the brain by combining patterning, visual movement calculation, auditory receptive processing, rhythm and sequencing into a “whole brain” cognitive thinking approach to significantly improve behavioral, emotional, academic, social and speech and language skills. AMT combines music and dance and appeals to all ages. Arc Herkimer plans to expand program participants to include individuals with other developmental disabilities.

Upstate Cerebral Palsy continues to expand recreational and social based inclusion opportunities for individuals in Herkimer County.

Do you have a Goal related to addressing this need? ☑ Yes ☐ No

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)? ☑ Yes ☐ No

Develop and enhance adult recreational programs and supports for individuals with developmental disabilities and those on the Autism Spectrum.

Objective Statement

Objective 1: Arc Herkimer will continue to provide Autism Movement Therapy and will expand program participants to include all individuals with developmental disabilities

Applicable State Agency: (check all that apply): ☑ OASAS ☑ OMH ☑ OPWDD

Objective 2: Upstate Cerebral Palsy will provide social and recreational opportunities for Herkimer County recipients of services, to include programs based on woodworking, pet care/therapy, outdoor sports, piano lab and movement, arts and crafts, and participation in the Equine Center and associated farming enterprises.

Applicable State Agency: (check all that apply): ☑ OASAS ☑ OMH ☑ OPWDD

Objective 3: The LGU will receive updates on implementation progress

Applicable State Agency: (check all that apply): ☑ OASAS ☑ OMH ☑ OPWDD

Change Over Past 12 Months (Optional)

Recreational and social activities continue to be provided for Herkimer County service recipients through UCP at the Root Farm in Sauquoit. A Ropes course has been added to the array of activities available.

Demand for the AMT service operated by Arc Herkimer has decreased slightly over the past year.

2v. Developmental Disability Family Supports - Background Information

The Eastern Region of Central New York DDSO Family Support Advisory Council includes four counties (Herkimer, Oneida, Madison and Lewis). The Council meets nine or ten times a year to meet with Agencies and review programs and consumer surveys. The Council acts as advocates for the developmentally disabled population and advisory to the central region director, discussing the unmet needs and evaluating those programs that are in place. Family members contact new members, and any person or family member who is eligible for family support services will be invited to attend meetings. Service coordinators and family members are encouraged to present any concerns and unmet needs they are aware of so that advocacy for future funding of programs can be discussed.

Family representation on the Family Support Advisory Council has decreased in recent years, and enhanced efforts will be made to work with local providers to identify potential members.

The Herkimer County LGU is committed to identifying and addressing the unmet needs of the DD population, and will continue working with providers and representatives of the Family Support Advisory Council to ensure program effectiveness.

Do you have a Goal related to addressing this need? ☑ Yes ☐ No

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)? ☑ Yes ☐ No
Continue DDSO Family Support Advisory Council representation on the DD Subcommittee

Objective Statement
Objective 1: The Developmental Disabilities Subcommittee will include a member of the DDSO Family Support Advisory Council. The representative will inform the LGU and subcommittee of the needs, concerns, and issues that affect individuals with developmental disabilities and their families.

Applicable State Agency: (check all that apply): ☐ OASAS ☐ OMH ☑ OPWDD

Objective 2: Arc Herkimer will work with the Herkimer FSS representative to help identify families that may wish to attend/participate in Council meetings.

Applicable State Agency: (check all that apply): ☐ OASAS ☑ OMH ☑ OPWDD

Change Over Past 12 Months (Optional)
The LGU has had a long standing positive relationship with DDSO Family Support Advisory Council representatives.

2w. Developmental Disability Self-Directed Services - Background Information
The number of individuals in Herkimer County enrolled in self-directed services has fluctuated (currently 33) but remains relatively low. It has been reported that some families have experienced challenges recruiting and retaining staff to provide services.

Upstate Cerebral Palsy continues to provide Self Directed Services that offer an opportunity for individuals with disabilities to make choices about the services they receive and who provides the service, including choices regarding independent community living. UCP plans to develop non-certified apartment living opportunities for individuals identified through referral and public forums that will be funded through Self Direction Services.

RCIL provides the majority of self-directed services currently being offered to residents.

Arc Herkimer is not currently providing this service but will continue to explore this opportunity.

Do you have a Goal related to addressing this need? ☑ Yes ☐ No

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)? ☑ Yes ☐ No

Encourage the provision of informational outreach and education forums in Herkimer County regarding the availability of Self Directed Services

Objective Statement
Objective 1: UCP’s Self Directed Services program will provide informational outreach and education forums in Herkimer County to Herkimer County Service Provider Agencies, MSCs, Family Support Advisory Board members, and/or to the public through presentations in the community.

Applicable State Agency: (check all that apply): ☐ OASAS ☐ OMH ☑ OPWDD

Objective 2: The LGU and Developmental Disabilities Subcommittee will discuss the needs, concerns, and issues that affect individuals enrolled in Self Directed Services and will explore strategies for improvement.

Applicable State Agency: (check all that apply): ☐ OASAS ☑ OMH ☑ OPWDD

Change Over Past 12 Months (Optional)
UCP has secured new software that has improved the ability to manage self-directed services.

2z. Developmental Disability Residential Services - Background Information
In 2017, 239 individuals were enrolled in residential services in Herkimer County. The majority of those served (220) were in certified residential settings.

There is a need to provide alternative, affordable housing opportunities for individuals with disabilities in Herkimer County that promote community inclusion. This year, through grant funding, a residence in Herkimer is being renovated to allow for a portion of the residence to be rented out to low income individuals.

There is a need for a TUBS bed in Herkimer County that is available to meet the level of residential respite services needed. Many families are authorized to receive residential respite services beyond the available local capacity.

The need to support and serve parents with intellectual disabilities and their children is a continued priority. Arc Herkimer operates the Valley Commons Learning Center which provides education, parent training, and support services for this population.

Upstate Cerebral Palsy is working to develop living opportunities for developmentally disabled individuals enrolled in Self Directed Services in Herkimer County.

Do you have a Goal related to addressing this need? ☑ Yes ☐ No

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)? ☑ Yes ☐ No

Provide varied residential living settings and opportunities for adults with developmental disabilities.
Objective Statement

Objective 1: The Arc Herkimer will complete renovations on its residence in Herkimer to accommodate apartment style living on the lower level for individuals with disabilities, and the upper level will be renovated and rented out to low income individuals. Renovations are expected to be complete by the fall of 2018.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 2: Arc Herkimer will continue to support and serve parents with intellectual disabilities by continuing to operate the Valley Commons Learning Center and will provide education and parent training to residents.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 3: Upstate Cerebral Palsy will develop non-certified apartment living opportunities for individuals enrolled in Self Directed Services.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 4: Arc Herkimer will explore the development of additional integrated supported housing opportunities in Herkimer County, in collaboration with the Herkimer Housing Authority and other community partners.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 5: The LGU will utilize the DD Subcommittee as a forum to discuss housing needs and identify strategies/solutions.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Change Over Past 12 Months (Optional)

There has been an increase in mandated family court referrals being received by the Valley Commons parenting education program. ARC Herkimer has participated in informational webinars to learn about a funding opportunity that could support the development of additional integrated supported housing, in collaboration with the local housing authority.

2aa. Developmental Disability Front Door - Background Information

In 2017, 47 individuals were new to the Front Door and received authorizations for a total of 78 services (23 MSC new openings).

Arc Herkimer has worked to expand and enhance services and supports to underserved areas in the county, including northern Herkimer County.

Do you have a Goal related to addressing this need? Yes No

Goal Statement- Is this Goal a priority goal (Maximum 5 Objectives per goal)? Yes No

Enhance services and supports in the Old Forge area (northern Herkimer County).

Objective Statement

Objective 1: Arc Herkimer, through the Outreach Development Connections program, will provide an outreach coordinator to work with schools, families, agencies and community members in the Old Forge area to link individuals with developmental disabilities to OPWDD screening and services.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 2: The LGU will utilize the DD Subcommittee as a forum to discuss outreach and engagement needs and to help identify strategies/solutions.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Change Over Past 12 Months (Optional)

There has been progress made with enrollment and access to services but some needs remain unaddressed. Developmental Disabilities stakeholders report ongoing concerns that systemic changes have impacted the availability of services and the ease of access for families in need of services. The eligibility and enrollment process has been identified as lengthy.

3. Goals Based On State Initiatives

<table>
<thead>
<tr>
<th>State Initiative</th>
<th>Applicable State Agency(ies)</th>
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<tbody>
<tr>
<td>a) Medicaid Redesign</td>
<td>OASAS OMH OPWDD</td>
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<td>b) Delivery System Reform Incentive Payment (DSRIP) Program</td>
<td>✔ ✔ ✔</td>
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<td>c) Regional Planning Consortiums (RPCs)</td>
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<tr>
<td>d) NYS Department of Health Prevention Agenda</td>
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3a. Medicaid Redesign - Background Information

The LGU and mental hygiene services system representatives have spent a great deal of time discussing Medicaid Redesign and planning for upcoming changes. All have made it a priority to respond to these changes and implement and monitor strategies that improve the current service delivery system and address statewide goals and objectives.

The shift to Value Based Payment for clinic services and the development of a local Behavioral Health Care Collaborative to improve clinical integration and prepare for managed care transition have been major action items for providers.

The Neighborhood Center, a local community provider, was granted funding through the Behavioral Health Value Based Payment Readiness Program to help prepare for system transformation and the Behavioral Health Care Collaborative. They are currently working to create all aspects of the collaborative, to include clinical quality standards and integration, data collection, analytics, and reporting methods. Monthly meetings are being held with community network and affiliate providers (including the Mental Health Clinic) to achieve these goals.

HCBS service availability for adults has not kept pace with the number of HARP-eligible individuals that need the services. A local barrier for HARP eligible adults has been the inability to obtain HCBS services due to provider shortages. At the end of 2017, 468 individuals were identified as HARP eligible, and 283 were enrolled in services. 85 were enrolled in Health Home services, and 68 were identified as HCBS eligible (none have been HCBS claimed).

As Waiver youth transition to Health Home service provision, there is a concern that the delivery system will not be ready to accommodate the additional home and community based service needs of this population. It was noted that there may be a gap in availability for those individuals that have the same level of need but are not enrolled in Medicaid.

Arc Herkimer, along with other DD providers, are preparing for the transition from Medicaid Service Coordination (MSC) to Health Home Care Management in late 2018 and in 2019 for the DD population they serve.

There is a need for ongoing education and training for professionals and consumers regarding the changes that are occurring in the service delivery system.

Do you have a Goal related to addressing this need? Yes No

Goal Statement - Is this Goal a priority goal? Yes No

Prepare for systemic changes and monitor and address issues related to Medicaid Redesign

Objective Statement

Objective 1: The Herkimer County Mental Health Clinic and the LGU will continue participation in the Care Transitions Network project.
   Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 2: Arc Herkimer will seek out and pursue initiatives to expand services to people with disabilities (Community pre-vocational services, community habilitation and ADL skill development, and home and community based services) in the most responsive and fiscally responsible manner through the managed care service delivery and funding model, as implemented by NYSARC, Inc. and the State of New York.
   Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 3: MH Network and Affiliate providers will continue to attend BHCC monthly meetings
   Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 4: The LGU will continue to utilize Mental Hygiene Services planning meetings and subcommittees to educate and update service providers and key stakeholders on the changes made relative to Medicaid Redesign
   Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Change Over Past 12 Months (Optional)

The Herkimer County Mental Health Clinic is involved with the PSYCKES QI project to assist with the move toward Value Based Payment and is participating in the Care Transitions Network project. This project will enable primary and behavioral health care integration, ensuring that inpatient and outpatient providers bridge the gap at discharge and payer and provider priorities are better in sync. Working with practice coaches, Herkimer County is moving through five phases of transformation, which will include setting aims around the use of data and improving outcomes. Clinic staff participates in monthly calls and webinars with Transition Network staff to receive training and support.

Arc Herkimer is an approved HCBS provider and will partner with Managed Care Organizations (MCO) to deliver services to eligible Mental Health individuals. They, along with other DD providers, are preparing for the transition from Medicaid Service Coordination (MSC) to Health Home Care Management in late 2018 and in 2019 for the DD population they serve.

Community agencies such as Kids Oneida, UCP and Arc Herkimer are providing MH Adult Behavioral Health Home and Community Based Services (BH HCBS).

The Herkimer County LGU and the SPOA have worked with Children's SPOA members and HBCW agency representatives to prepare for upcoming changes. This work is ongoing.

The LGU is a member of the Population Health Improvement Program (PHIP) Behavioral Health subcommittee to help identify and address population level issues.

3b. Delivery System Reform Incentive Payment (DSRIP) Program - Background Information

The LGU, contract agencies and county partners continue to participate in the Delivery System Reform and Incentive Program (DSRIP)
sponsored through the state health department designed to reconfigure the health delivery system to achieve greater fiscal efficiencies, including reducing reliance and use of Medicaid funds. Participants continue to become informed about and participate in statewide efforts to redesign service delivery systems.

The role of local governmental units has not been defined well by state agencies, although the county mental health clinic and many agency providers have been identified as a participating service provider in DSRIP initiatives. Clinic and community providers are also involved in the newly formed Herkimer Performance Hub and in the MH/SA subcommittee.

Do you have a Goal related to addressing this need?  Yes  No

Goal Statement- Is this Goal a priority goal?  Yes  No

Participate in the Delivery System Reform Incentive Program (DSRIP)

Objective Statement

Objective 1: The Herkimer County LGU will attend and participate in Delivery System Reform Incentive Program (DSRIP) and Herkimer County Performance Hub meetings run by the Leatherstocking Collaborative Health Partners Group to explore strategies that will address needs and improve service outcomes.

Applicable State Agency: (check all that apply): OASAS  OMH  OPWDD

Objective 2: The Beacon Center and the Herkimer County Prevention Council will participate in Delivery System Reform Incentive Program (DSRIP) planning meetings, to include the Mental Health/Substance Abuse Committee and the Herkimer County Performance Hub, and will work with the Leatherstocking Collaborative Health Partners Group to explore strategies that will address needs and improve service outcomes. Special attention will be paid to the development of treatment services for the Opiate and Heroin addicted population.

Applicable State Agency: (check all that apply): OASAS  OMH  OPWDD

Objective 3: Arc Herkimer will participate in Delivery System Reform Incentive Program (DSRIP) planning meetings

Applicable State Agency: (check all that apply): OASAS  OMH  OPWDD

Objective 4: The LGU will continue to utilize Mental Hygiene Services planning meetings and subcommittees to educate and update service providers and key stakeholders on DSRIP activities and the changes made to the service delivery system

Applicable State Agency: (check all that apply): OASAS  OMH  OPWDD

Change Over Past 12 Months (Optional)

The Herkimer County Performance Hub has begun to hold meetings to address the specific needs of the local population. Local data reviewed indicates that Herkimer County makes up 22.4% of the LCHP total Medicaid lives attribution. A goal has been set to reduce the percentage of people that have had 2 or more ED visits in 2017 by 30% (Baseline: 36 patients). Strategies being implemented include: Enrolling 22 patients in Adult Medicaid Health Home Services, enrolling 13 patients in Children's Health Home Services, and linking one person to a primary care provider close to their home.

3c. Regional Planning Consortiums (RPCs) - Background Information

Local agencies and providers report that the Mohawk Valley Regional Planning Consortium has already become a great support and resource, and has helped local providers to identify and begin to address regional service delivery challenges in preparation for behavioral health services transition to Medicaid Managed Care.

The group had originally identified a list of ten challenges unique to the Mohawk Valley Region to be addressed by state and local partners as the system shifts to managed care. This list has been condensed to three action items of immediate focus: the shortage of psychiatrists and the lack of primary care providers that prescribe psychiatric medications, VBP metrics for social determinants of health, and the lack of an integrated database that allows for the tracking of special populations such as First Episode Psychosis, criminal justice, and the homeless. Strategy recommendations have been identified.

Subcommittees are being formed to address issues. The Herkimer County DCS will serve as the co-chair of the Children and Youth Subcommittee with the Director of Kids Oneida, a local community provider. This subcommittee will meet for the first time in June 2018. Other ad-hoc groups focusing on Health Homes/HARP/HCBS and Housing are also meeting.

Do you have a Goal related to addressing this need?  Yes  No

Goal Statement- Is this Goal a priority goal?  Yes  No

The Herkimer County DCS and OMH and OASAS community service providers will serve on the CLMHD Regional Planning Consortium (RPC) and will participate in the development of a community based, recovery-oriented system of behavioral health care.

Objective Statement

Objective 1: The Herkimer County DCS will participate in RPC initiatives and will co-chair the Children and Youth Subcommittee

Applicable State Agency: (check all that apply): OASAS  OMH  OPWDD

Objective 2: Beacon Center will attend and participate in RPC meetings to identify service delivery challenges and explore strategies that will address needs and improve service outcomes

Applicable State Agency: (check all that apply): OASAS  OMH  OPWDD
Objective 3: The Herkimer County LGU will advocate for and participate in activities to develop standardized operating procedures for local SPOAs.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 4: The LGU will continue to utilize Mental Hygiene Services planning meetings and subcommittees to educate and update service providers and key stakeholders on RPC activities

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Change Over Past 12 Months (Optional)

Work is ongoing.

3d. NYS Department of Health Prevention Agenda - Background Information

The issue of Suicide Prevention has been a topic of focus for many years in Herkimer County. In 2016, it was decided that Herkimer County would pursue the development of a county-wide Suicide Prevention Coalition to improve prevention, intervention, and post-vention efforts in the community. Representatives of the Herkimer County LGU, the county Integrated Planning Project and the Herkimer County Prevention Council partnered with Herkimer County Public Health and the Suicide Prevention Center of NY to create a Suicide Prevention Coalition. This strategy addresses the 2013-2019 DOH Prevention Agenda ‘Promote Mental Health and Prevent Substance Abuse’ priority area (2.3 Prevent suicides among youth and adults).

In April 2016, Herkimer County was awarded a Suicide Prevention Coalition-Building grant from the Suicide Prevention Center of New York (SPC-NY) and began to participate in coalition-building activities. In the summer of 2016, meetings were held to identify the preliminary structure and membership of the key stakeholders group that would participate in the coalition. Key leaders from education, health, social services, law enforcement mental health, and SUD prevention organizations were identified to participate in upcoming coalition planning and development meetings.

In October 2016, the SPC-NY facilitated a Stakeholder Investment Training for these key stakeholders in Herkimer County to provide an overview of local and regional data, information on using Coalitions to reduce the burden of suicide in a community, and the next steps required for building and maintaining a coalition.

The newly formed Herkimer County Coalition met in November 2016 to begin to identify areas of focus. Additional Coalition members were also identified, to include a Herkimer County Coroner, a representative from the American Foundation for Suicide Prevention, the SUD treatment provider, and leaders from the Office for the Aging, Probation, Employment and Training and the Veteran’s Administration. The LGU and the Director of Public Health were identified as co-chairs of the Coalition.

The newly expanded Coalition participated in the Coalition Academy Module One training provided by SPC-NY in January 2017. Additional grant funding was received in April 2017 to develop technical assistance for increasing Postvention response capacity and to develop a community level awareness and education campaign. The Herkimer County Prevention Council at Catholic Charities was identified as the fiduciary.

2017 activities of the SPC are identified below. One barrier to full implementation of the HC SPC has been the time needed to devote to this initiative. Catholic Charities of Herkimer County, with grant funding received from the Community Foundation in May 2018, will hire a full time SPC Coordinator to manage efforts.

Do you have a Goal related to addressing this need? Yes No

Goal Statement - Is this Goal a priority goal? Yes No

The Herkimer County Suicide Prevention Coalition will raise awareness about suicide prevention and promote emotional wellness through education, training, and resource development.

Objective Statement

Objective 1: The Herkimer County Suicide Prevention Coalition will complete all Coalition Academy modules offered by the SPC-NY, including training to develop a Postvention Response

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 2: Catholic Charities of Herkimer County, with grant funding received from the Community Foundation in May 2018, will hire a full time SPC Coordinator to manage efforts.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Objective 3: The Herkimer County Suicide Prevention Coalition will implement one and three year milestone activities identified through the strategic planning process.

Applicable State Agency: (check all that apply): OASAS OMH OPWDD

Change Over Past 12 Months (Optional)

The newly formed Herkimer County Suicide Prevention Coalition focused its efforts in 2017 on developing Coalition infrastructure, promoting community awareness, and taking preliminary steps to increase postvention response capacity. Activities included the development of mission,
vision and goal statements, the delivery of community trainings to increase knowledge and response capacity, raising awareness through resource material distribution, community event attendance, and the media, and participation in training to help develop a formalized postvention response.

The Herkimer County Suicide Prevention Coalition and its members sponsored and/or supported the following education and training events in 2017:

- An Applied Suicide Intervention Skills Training (ASIST) was held at Herkimer College on October 25-26, 2017. This training was sponsored by the Herkimer County Prevention Council of Catholic Charities, NYS OMH and SPC NY.
- Two safeTalk (Suicide Alertness for Everyone) trainings were held at Herkimer College, the first on November 4, 2017 and the second on February 3, 2018. This training was sponsored by the Health Foundation of Western and Central New York Leadership Fellows Team, the American Foundation for Suicide Prevention – CNY, and the Herkimer County Suicide Prevention Coalition.
- Two Catholic Charities of Herkimer County staff were trained to deliver the Suicide Safety for Teachers training. In Fall 2017, three Herkimer County school districts received this training

The Herkimer County Suicide Prevention Coalition and its members sponsored and/or supported the following community awareness activities and events in 2017:

- **Billboard**: One billboard was created and placed in Herkimer County with the message "Suicide is Everyone's Business". This billboard includes both the National Suicide Hotline number and the local MCAT phone number.
- **Activities Targeting Middle-aged Males**: The Herkimer County Suicide Prevention Coalition, in collaboration with the Health Foundation of Western and Central New York Leadership Fellows Team and Mohawk Valley PHP, conducted several outreach events to reach middle age males and others who may not engage through traditional approaches. **Man Therapy**, a web-based tool designed to help men with their mental health by using humor to connect men and their families to facts, resources, and help, was used as the basis for outreach to this population. **Man Therapy** beverage coasters were distributed to six local establishments with information about where to turn to talk about issues. The coaster included the local MCAT phone number and the mantherapy.org web link. Coffee sleeves with the **Man Therapy** and MCAT information were distributed to Herkimer County coffee shops. In addition to this work, gun locks were distributed to three gun shops in Herkimer County, and two events were held at the local Wal-Mart where approximately 145 gun locks were distributed.
- **Local Media**: Representatives of the Coalition participated on WKTV’s News Talk and Morning Mug Club to raise awareness about suicide prevention and promote upcoming safeTalk trainings.
- The Coalition provided resource materials and counseling support at the Survivors of Suicide Loss Day event on November 18, 2017 (support provided by The Neighborhood Center/MCAT program)
- The Coalition provided a resource table and suicide prevention materials at the Out of Darkness Walk on September 9, 2017 in Mohawk.
- The Coalition provided a resource table and suicide prevention materials at the county-wide Superintendent’s Training day on October 16, 2017. Approximately 150 administrators, teachers, and counselors from across Herkimer County attended this event.
- A resource table and suicide prevention materials were available at the Narcan Training held by the Herkimer County Prevention Council in October 2017.

A resource list has also been created with over 30 educational resources that the Suicide Prevention Coalition has purchased/obtained to be used by members for outreach and education.

In November 2017, members of the Herkimer County Suicide Prevention Coalition participated in the Pillars of Postvention Workshop offered by the SPC-NY, and in May 2018 Developing a Community Postvention Team: Essential Considerations, Planning and Preparation.

In addition, five members of the Coalition attended the NYS Suicide Prevention Conference in September 2017 in an effort to receive additional information on this important topic.

In 2018, the local MCAT, operated by the Neighborhood Center, became developed a formal partnership with the National Suicide Prevention Lifeline.

### 4. Other Goals (Optional)

**Other Goals - Background Information**

To ensure program quality and effectiveness and maximize scarce resources, Herkimer County has utilized the Results Based Accountability (RBA) framework since 2011 to measure and improve outcomes for all programs and services operated and/or funded by the county across several county departments and service disciplines. This initiative is coordinated through the county Youth Bureau. County departments, including the Mental Health Department and all Mental Hygiene Services contract agencies/programs, collect program performance data every six months to generate a program "Scorecard". This scorecard is used to analyze program and client progress toward the successful achievement of performance targets and outcomes and to identify the areas in which there is a need for improvement. Program scorecard reviews have resulted in modifications to existing programs to better meet the needs of the current populations being served and to address system service gaps. In 2017, population level outcomes were added to the programs to better link county level indicators with individual program performance.

**Do you have a Goal related to addressing this need?** 

- Yes 
- No

**Goal Statement** - Is this Goal a priority goal (Only 5 goals can be selected as priority goals)?

- Yes 
- No

The Herkimer County LGU will improve system and program accountability by utilizing the Results Based Accountability framework to measure and evaluate program performance and track county level outcomes.

**Objective Statement**

Objective 1: The Herkimer County Community Services Board will review Mental Health Department and contract agency Results Based Accountability Scorecards to analyze program and system performance and county level outcomes

- Applicable State Agency: (check all that apply): OASAS OMH OPWDD
Objective 5: The LGU will continue to review data and update subcommittees on the RBA initiative.

Applicable State Agency: (check all that apply): ☑ OASAS ☑ OMH ☐ OPWDD

Change Over Past 12 Months (Optional)

In October 2017, the LGU and MHS providers participated in county wide population level outcome focus groups on 11 population level priority areas, including on the topic of teen depression and suicide. The information obtained at these focus groups will be used to move forward with strategy refinement and implementation.
1. To the extent known and available, please rate the level of difficulty faced by licensed mental health (Article 31) clinic treatment providers in your county for recruiting and retaining the following professional titles. Rank 1 as not difficult at all, and 5 as very difficult. This judgment should be made for clinic programs county-wide, when there is more than one clinic. If the title does not apply, or you are unable to make a determination, select "n/a". This should only apply for staff positions that are available to fill; not unfunded positions.

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<tr>
<th>Professional Title</th>
<th>Recruitment</th>
<th>Retention</th>
<th>Please indicate the reasons for difficulty, when known (e.g., no available workers, salary competitiveness, etc.), along with any other detail that may be useful to understand the issue.</th>
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<td>Nurse Practitioner</td>
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<td>Lack of Nurse Practitioners with a specialty in Psychiatry in the area</td>
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<td>RN/LPN (non-NP)</td>
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<td>Salary competitiveness is an issue; In addition, there is a lack of nurses with psychiatric experience.</td>
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<td>Family peer advocate</td>
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2. Please list any professions or titles not listed above, for which any mental health providers in your county face difficulty recruiting or retaining.

N/A.

* Please note - Although there are no Peer Specialists or Family Peer Advocates employed by Article 31 Clinics in Herkimer County, several MHS programs that provide services in Herkimer County employ peers.

3. Please indicate how many, if any, programs in your county provided input specific to this questions set.

There are two Article 31 Clinics in Herkimer County, the Herkimer County Mental Health Clinic and the state-operated MVP Herbiker Clinic. Both programs provided information to inform survey responses.

Thank you for participating in the 2019 Mental Hygiene Local Services Planning Process by completing this survey. Questions regarding the content of this survey should be directed to Jeremy Darman jeremy.darman@omh.ny.gov. For any technical questions regarding the County Planning System, please contact the OASAS Planning Unit at oasasplanning@oasas.ny.gov.
**Community Service Board Roster**
Herkimer County MH & Chem Services (70070)
Certified: Kristen Snyder-Branner (5/30/18)

Note: There must be 15 board members (counties under 100,000 population may opt for a 9-member board). Indicate if member is a licensed physician or certified psychologist. Under item labeled "Represents", enter the name of the member's organization or enter "Consumer", "Family", "Public Representative", etc. to indicate the particular community interest being represented. Members shall serve four-year staggered terms.

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<tr>
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<td><a href="mailto:kmoss@cflrinc.org">kmoss@cflrinc.org</a></td>
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<tr>
<td>Chairperson</td>
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<td><strong>Name</strong></td>
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<tr>
<td>Tim Seymour</td>
<td>Christopher Farber</td>
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<tr>
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<tr>
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<tr>
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<tr>
<td><a href="mailto:tseymour@herkimercounty.org">tseymour@herkimercounty.org</a></td>
<td><a href="mailto:cfarber@herkimercounty.org">cfarber@herkimercounty.org</a></td>
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</tr>
<tr>
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<td>Maureen Petrie</td>
</tr>
<tr>
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<tr>
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<tr>
<td><a href="mailto:ccain@herkimercounty.org">ccain@herkimercounty.org</a></td>
<td><a href="mailto:mpetic@ccherkimer.org">mpetic@ccherkimer.org</a></td>
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<tr>
<td><strong>Name</strong></td>
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</tr>
<tr>
<td>Helen Burdick</td>
<td>Sandy Soroka</td>
</tr>
<tr>
<td><strong>Represents</strong></td>
<td><strong>Represents</strong></td>
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<tr>
<td>Beacon Center</td>
<td>Neighborhood Center</td>
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</tr>
<tr>
<td><a href="mailto:hburdick@beaconcenter.net">hburdick@beaconcenter.net</a></td>
<td><a href="mailto:sandys@neighborhoodctr.org">sandys@neighborhoodctr.org</a></td>
</tr>
<tr>
<td><strong>Is CSB Member</strong></td>
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<tr>
<td><strong>Name</strong></td>
<td><strong>Name</strong></td>
</tr>
<tr>
<td>Melissa Snyder</td>
<td>Gina Giacovelli</td>
</tr>
<tr>
<td><strong>Represents</strong></td>
<td><strong>Represents</strong></td>
</tr>
<tr>
<td>Herkimer County Prevention Council</td>
<td>Youth Bureau</td>
</tr>
<tr>
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</tr>
<tr>
<td><a href="mailto:msnyder@ccherkimer.org">msnyder@ccherkimer.org</a></td>
<td><a href="mailto:ginagiacovelli@herkimercounty.org">ginagiacovelli@herkimercounty.org</a></td>
</tr>
<tr>
<td><strong>Is CSB Member</strong></td>
<td><strong>Is CSB Member</strong></td>
</tr>
<tr>
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</table>
## Mental Health Subcommittee Roster

Herkimer County MH & Chem Services (70070)  
Certified: Kristen Snyder-Branner (5/30/18)

Note: The subcommittee shall have no more than eleven members. Three subcommittee members must be members of the board; those members should be identified here. Under item labeled "Represents", enter the name of the member's organization or enter "Consumer", "Family", "Public Representative", etc. to indicate the perspective the member brings to the subcommittee.

<table>
<thead>
<tr>
<th>Chairperson</th>
<th>Name</th>
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<th>eMail</th>
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<th>Member</th>
<th>Name</th>
<th>Represents</th>
<th>eMail</th>
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<tbody>
<tr>
<td></td>
<td>Elizabeth Pritchard</td>
<td>Upstate Cerebral Palsy</td>
<td><a href="mailto:elizabeth.pritchard@upstatecp.org">elizabeth.pritchard@upstatecp.org</a></td>
<td>Yes</td>
<td></td>
<td>Bonnie Muffett</td>
<td>Family Member</td>
<td><a href="mailto:bmuffett@rpcn.org">bmuffett@rpcn.org</a></td>
<td>Yes</td>
</tr>
<tr>
<td>Member</td>
<td>Name</td>
<td>Represents</td>
<td>eMail</td>
<td>Is CSB Member</td>
<td></td>
<td>Name</td>
<td>Represents</td>
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<tr>
<td></td>
<td>Suzannah Wallace-Eaton</td>
<td>Parsons Children and Family Services</td>
<td><a href="mailto:Suzannah.Wallace-Eaton@parsonscenter.org">Suzannah.Wallace-Eaton@parsonscenter.org</a></td>
<td>Yes</td>
<td></td>
<td>Tara Costello</td>
<td>Upstate Cerebral Palsy</td>
<td><a href="mailto:tara.costello@upstatecp.org">tara.costello@upstatecp.org</a></td>
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<tr>
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<td>Name</td>
<td>Represents</td>
<td>eMail</td>
<td>Is CSB Member</td>
<td></td>
<td>Name</td>
<td>Center for Family Life and Recovery</td>
<td><a href="mailto:esheets@cfrinc.org">esheets@cfrinc.org</a></td>
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<td></td>
<td>Sandy Soroka</td>
<td>Neighborhood Center</td>
<td><a href="mailto:sandys@neighborhoodctr.org">sandys@neighborhoodctr.org</a></td>
<td>No</td>
<td></td>
<td>Cassandra Sheets</td>
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<td>Name</td>
<td>Represents</td>
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<td></td>
<td>Name</td>
<td>Arc Herkimer Career Connections</td>
<td><a href="mailto:rmattox@herkimerarc.org">rmattox@herkimerarc.org</a></td>
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<tr>
<td></td>
<td>Lisa Hoffmann</td>
<td>Central Valley School District</td>
<td><a href="mailto:lhoffman@cvalleycsd.org">lhoffman@cvalleycsd.org</a></td>
<td>No</td>
<td></td>
<td>Robin Matoxx</td>
<td></td>
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<td>eMail</td>
<td>Is CSB Member</td>
<td></td>
<td>Name</td>
<td>Department of Social Services</td>
<td><a href="mailto:tseymour@herkimercounty.org">tseymour@herkimercounty.org</a></td>
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<td></td>
<td>Steve Bulger</td>
<td>Kids Oneida</td>
<td><a href="mailto:sbulger@kidsoneida.org">sbulger@kidsoneida.org</a></td>
<td>No</td>
<td></td>
<td>Tim Seymour</td>
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<td></td>
<td>Sandy Sherwood</td>
<td>BOCES</td>
<td><a href="mailto:ssherwood@herkimer-boces.org">ssherwood@herkimer-boces.org</a></td>
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**Developmental Disabilities Subcommittee Roster**
Herkimer County MH & Chem Services (70070)
Certified: Kristen Snyder-Branner (5/30/18)

Note: The subcommittee shall have no more than nine members. Three subcommittee members must be members of the board; those members should be identified here. Under item labeled "Represents", enter the name of the member's organization or enter "Consumer", "Family", "Public Representative", etc. to indicate the perspective the member brings to the subcommittee.

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<tr>
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<tbody>
<tr>
<td>Name</td>
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<tr>
<td>Gina Giacovelli</td>
<td>Tim Seymour</td>
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<tr>
<td>Represents</td>
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<tr>
<td>Youth Bureau</td>
<td>Department of Social Services</td>
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<tr>
<td>eMail</td>
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<tr>
<td><a href="mailto:ginagiacovelli@herkimercounty.org">ginagiacovelli@herkimercounty.org</a></td>
<td><a href="mailto:tseymour@herkimercounty.org">tseymour@herkimercounty.org</a></td>
</tr>
<tr>
<td>Is CSB Member</td>
<td>Is CSB Member</td>
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<tr>
<td>Yes</td>
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<tbody>
<tr>
<td>Name</td>
<td>Name</td>
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<tr>
<td>Laura Kiefer</td>
<td>Bonnie Muffett</td>
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<tr>
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<td>Represents</td>
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<tr>
<td>Family Member</td>
<td>Federally Qualified Health Center</td>
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<td>eMail</td>
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</tr>
<tr>
<td><a href="mailto:lkne57@yahoo.com">lkne57@yahoo.com</a></td>
<td><a href="mailto:bmuffett@rpcn.org">bmuffett@rpcn.org</a></td>
</tr>
<tr>
<td>Is CSB Member</td>
<td>Is CSB Member</td>
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<tr>
<td>Lorene Bass</td>
<td>Mary Beth Redmond</td>
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<tr>
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<td>Represents</td>
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<tr>
<td>Arc Herkimer</td>
<td>Upstate Cerebral Palsy</td>
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<tr>
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<tr>
<td><a href="mailto:lbass@archerkimer.org">lbass@archerkimer.org</a></td>
<td><a href="mailto:marybeth.redmond@upstatecp.org">marybeth.redmond@upstatecp.org</a></td>
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<tr>
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<tr>
<td>Julie Baum</td>
<td>Frank Reid</td>
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<tr>
<td>John Lenhart</td>
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<tr>
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Pursuant to Article 41 of the Mental Hygiene Law, we assure and certify that:

Representatives of facilities of the offices of the department; directors of district developmental services offices; directors of hospital-based mental health services; directors of community mental health centers, voluntary agencies; persons and families who receive services and advocates; other providers of services have been formally invited to participate in, and provide information for, the local planning process relative to the development of the Local Services Plan;

The Community Services Board and the Subcommittees for Alcoholism and Substance Abuse, Mental Health, and Developmental Disabilities have provided advice to the Director of Community Services and have participated in the development of the Local Services Plan. The full Board and the Subcommittees have had an opportunity to review and comment on the contents of the plan and have received the completed document. Any disputes which may have arisen, as part of the local planning process regarding elements of the plan, have been or will be addressed in accordance with procedures outlined in Mental Hygiene Law Section 41.16(c);

The Community Services Board and the Subcommittees for Alcoholism and Substance Abuse, Mental Health, and Developmental Disabilities meet regularly during the year, and the Board has established bylaws for its operation, has defined the number of officers and members that will comprise a quorum, and has membership which is broadly representative of the age, sex, race, and other ethnic characteristics of the area served. The Board has established procedures to ensure that all meetings are conducted in accordance with the Open Meetings Law, which requires that meetings of public bodies be open to the general public, that advance public notice of meetings be given, and that minutes be taken of all meetings and be available to the public.

OASAS, OMH and OPWDD accept the certified 2019 Local Services Planning Assurance form in the Online County Planning System as the official LGU assurance that the above conditions have been met for the 2019 Local Services planning process.